

## Fee Schedule—Financial Foundations

**INITIAL PLAN FEE:** First three months paid up-front

**MONTHLY RECURRING FEES:**

<u>Investment Assets</u>	<u>Monthly Fee</u>
\$0 to \$100,000	\$100 per month
\$100,000 to \$200,000	\$150 per month
\$200,000 to \$300,000	\$200 per month
\$300,000 to \$400,000	\$250 per month
\$400,000 to \$500,000	\$300 per month

**OPTIONAL TAX FILING:** \$ 600 (higher fee may apply, depending on complexity)

**PAYMENT METHOD:** We require that our monthly recurring fee be debited from your checking account and deposited into a TD Ameritrade Brokerage Account or that the fee be taken from your Brokerage Account Assets.

## Fee Schedule—Financial Freedom

<b>Minimum Annual Financial Planning and Investment Fee:</b>		\$5,000
<b>Minimum Annual Fee with Tax Preparation:</b>		\$5,600*
<b>Additional Investment Management Fee:</b>		
<b>First</b>	\$500,000	0.00% (covered by minimum fee)
<b>Amount over</b>	\$500,000	0.25%

### EXAMPLE ANNUAL FEES WITHOUT TAX PREPARATION

<u>Investment Assets</u>	<u>Minimum Fee</u>	<u>Additional Invest Fee</u>	<u>Total Fee</u>	<u>Effective % of Assets</u>
\$150,000	\$5,000	\$0	\$5,000	3.33%
\$300,000	\$5,000	\$0	\$5,000	1.67%
\$500,000	\$5,000	\$0	\$5,000	1.00%
\$700,000	\$5,000	\$500	\$5,500	0.79%
\$1,000,000	\$5,000	\$1,250	\$6,250	0.63%
\$1,500,000	\$5,000	\$2,500	\$7,500	0.50%
\$2,000,000	\$5,000	\$3,750	\$8,750	0.44%
\$3,000,000	\$5,000	\$6,250	\$11,250	0.38%
\$5,000,000	\$5,000	\$11,250	\$16,250	0.33%

\*Tax Preparation assumes simple individual return. Complex returns and corporate returns may incur additional fees

\*\*For calculation of the Additional Investment Management Fee, we include cash and money market balances held in client accounts at our investment custodians. We consider cash to be an asset class, and it is a small component of most client portfolio allocations. At certain times, our 0.25% fee may equate to more than the current yield earned on cash balances.